

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

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In re	:	Chapter 11 Case No.
	:	
LEHMAN BROTHERS HOLDINGS INC., <i>et al.</i> ,	:	08-13555 (JMP)
	:	(Jointly Administered)
Debtors.	:	
-----X		
		Ref. Docket Nos. 36990 & 37082

AFFIDAVIT OF SERVICE

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On May 13, 2013, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
17<sup>th</sup> day of May, 2013  
/s/ Cassandra Murray  
Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2014

**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

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In re:

Chapter 11

LEHMAN BROTHERS HOLDINGS, INC., et al.

Case No. 08-13555 (JMP)  
Jointly Administered

Debtors.

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**NOTICE OF DEFECTIVE TRANSFER**

Transferor: Merrill Lynch Pierce, Fenner & Smith, Incorporated  
Attn: Meredith R. Smith  
214 North Tryon Street, 15<sup>th</sup> Floor  
Charlotte, NC 28255

Transferee: HBK Master Fund LP  
c/o HBK Services LLC  
2101 Cedar Springs Road, Suite 700  
Dallas, TX 75201

**Your transfer as presented is defective for the reason(s) checked below:**

- ☐ case closed on:
- ☐ address missing: (transferor) (transferee)
- ☐ signature of transferor missing
- ☐ claim number in error
- ☐ claim number not stated
- ☐ amount of transfer missing in Transfer Agreement
- ☐ not FRBP 3001(e)(2) OR (4); claim **NOT** on file
- ☐ not FRBP 3001(e)(1) OR (3); claim **ON** file
- ☐ notice amount does not match transfer agreement
- ☐ cannot docket transfer-claim #
  - ☐ expunged by court order
  - ☐ previously transferred
  - By document #
- ☐ other (specify): Wrong Transfer Form Used

NOTE: Claim Not on File. Transferor is not associated with Claim No. 59430

Docket Number: 36990

/s/ Lauren Rodriguez

Bankruptcy Services LLC as claims  
agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on May 13, 2013.

**EXHIBIT B**

MERRILL LYNCH PIERCE, FENNER &  
SMITH, INCORPORATED  
ATTN: MEREDITH R. SMITH  
214 NORTH TRYON STREET, 15TH FLOOR  
CHARLOTTE, NC 28255

HBK MASTER FUND LP  
C/O HBK SERVICES LLC  
2101 CEDAR SPRINGS ROAD, SUITE 700  
DALLAS, TX 75201